



Insight Investment
Broker Dealer List
Prepared for the City of Aurora
October 25, 2021

Primary dealers or affiliated firms

Amherst Pierpont
Barclays Capital
BMO Capital Markets
BNP Paribas Securities
BofA Securities
Cantor Fitzgerald & Co.
Citigroup Global Markets
Credit Suisse Securities (USA)
Daiwa Capital Markets America
Deutsche Bank Securities
Goldman Sachs & Co.
HSBC Securities (USA)
Jefferies
JP Morgan Securities
Mizuho Securities USA
Morgan Stanley & Co.
NatWest Markets Securities
Nomura Securities International
RBC Capital Markets
SG Americas Securities
TD Securities (USA)
UBS Securities
Wells Fargo Securities

Non-primary dealer firms

ANZ Securities
BB&T Securities
Blaylock Van
Credit Agricole Securities (USA)
FHN Financial Securities
Inspere
Keybank Capital Markets
MUFG Securities Americas
NAB Securities
Oppenheimer & Co.
Piper Sandler & Co.
Raymond James & Associates
Robert W. Baird & Co.
Samuel A. Ramirez & Co.
Scotia Capital (USA)
SMBC Nikko Securities America
Stifel Nicolaus & Co.
StoneX Financial
Truist Securities
US Bancorp Investments
Vining Sparks

The approved list of broker/dealers includes affiliates of designated firms and is subject to change without notice.